

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): David E Richardson

Confirmation No.: 1209

Application No.: 09/923045

Examiner:

Filing Date: Aug 06, 2001

Group Art Unit: 2173

Title: A Method And Apparatus For Dynamically Drilling-Down Through A Health Monitoring Map To Determine The Health Status And Cause Of Health Problems Associated With Network Objects Of A Managed Network Environment

Box MISSING PARTS
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF CORRECTED APPLICATION PAPERS

Sir:

This is in response to a Notice to File Corrected Application Papers under 37 CFR 1.84 mailed on 8/27/2001. Enclosed is a copy of said Notice and the following documents to complete the filing requirements of the above-identified application.

(X) 10 pages of substitute formal drawings (Figures 1-13); and

Reply To Notice To File Corrected Application Papers with Petition Under 37 CFR Section 1.53(e) with regard to Figure 13.

() A Petition for Extension of Time for reply to Notice to Correct Application is attached.

- () one month \$110.00
- () two months \$400.00
- () three months \$920.00
- () four months \$1440.00

(X) Please charge to Deposit Account 08-2025 the sum of 130.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

() A duplicate copy of this transmittal letter is enclosed.

Respectfully submitted,

David E Richardson

By T. Grant Ritz

T. Grant Ritz

Attorney/Agent for Applicant(s)
Reg. No.: 39,819

Date: 10-9-01

Telephone No.: (970) 898-0697

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 10-9-01

Typed Name: Donna Kraft

Signature: Donna Kraft



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Batch No.:

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Examiner:

Group Art Unit: 2173

OFFICIAL DRAFTSPERSON
Drawing Processing Branch
Washington, D.C. 20231

DRAWING TRANSMITTAL LETTER

Sir:

Enclosed herewith please find:

- () _____ sheets of redlined drawing(s) which indicate proposed changes to the drawing(s). Upon approval of these proposed changes, formal drawing(s) will be submitted.
- () _____ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) which accompanied the Office Action dated _____.
- () _____ sheets of corrected formal drawing(s), as required by the Notice of Patent Drawings Objection (PTO-948) and approved in the Notice of Allowability dated _____.
- (X) 10 sheets of formal drawing(s). Please substitute these formal drawing(s) for the informal drawing(s) originally filed.
- () _____

Examiner's approval of the entry of these drawings is respectfully requested. No new matter has been added.

Respectfully submitted,

David E Richardson

By T. Grant Ritz

T. Grant Ritz

Attorney/Agent for Applicant(s)
Reg. No. 39,819

Date: 10-9-1

Telephone No.: (970) 898-0697

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NOTICE TO FILE CORRECTED APPLICATION PAPERS
(copy; 1 page)



[ATTACHMENT 1 TO REPLY AND PETITION
FILED IN U.S. PATENT APPLICATION 09/923,045.]



CONFIRMATION POSTCARD
(copy; 2 pages)

[ATTACHMENT 2 TO REPLY AND PETITION
FILED IN U.S. PATENT APPLICATION 09/923,045.]

FIGURE 13 AS ORIGINALLY FILED
(copy; 1 page)

[ATTACHMENT 3 TO REPLY AND PETITION
FILED IN U.S. PATENT APPLICATION 09/923,045.]



LETTER TO DRAFTSPERSON WITH FORMAL DRAWINGS

[ATTACHMENT 4 TO REPLY AND PETITION
FILED IN U.S. PATENT APPLICATION 09/923,045.]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,045	08/06/2001	David E. Richardson	10990318-2

HEWLETT-PACKARD COMPANY
Intellectual Property Administration
P. O. Box 272400
Fort Collins, CO 80527-2400



CONFIRMATION NO. 1209

FORMALITIES LETTER



OC000000006473254

Date Mailed: 08/27/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **13** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing

date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Serial No. Not Yet Assigned File No. 10990318-2 By: TGR/MGV

Title: A METHOD + STRUCTURE FOR DYNAMICALLY DRILLING-DOWN . . .

Application of DAVID E RICHARDSON

Date: _____

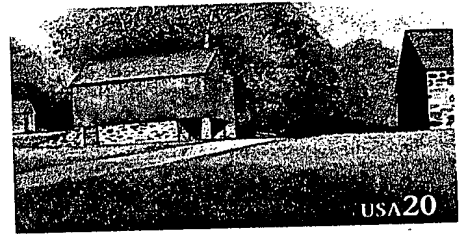
The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

- | | |
|--|---|
| <input checked="" type="checkbox"/> Mailing by Express Mail (37 CFR 1.10) | <input type="checkbox"/> Provisional Application Cover Sheet |
| Express Mail Label No. <u>ST01462846705</u> | <input type="checkbox"/> Multiple Dependent Claim Fee Sheet |
| <input type="checkbox"/> Patent Application <u>COPY OF PARENT</u> | <input checked="" type="checkbox"/> Inf. Discl. Statement, PTO Form 1449 |
| <input checked="" type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional | <input type="checkbox"/> References Cited |
| Incl. <u>33</u> pages, (<u>23</u> pgs) Specification, | <input type="checkbox"/> Priority Document(s) # _____ |
| (<u>1</u> pgs) Abstract, (<u>9</u> pgs) Claims (<u>22</u> # claims) | <input type="checkbox"/> Copy of Notice to File Missing Parts |
| <input type="checkbox"/> Design Patent Application | <input checked="" type="checkbox"/> Amendment <u>Response PRELIMINARY</u> |
| <input checked="" type="checkbox"/> Declaration(s) <u>copy</u> | <input type="checkbox"/> Petition for Ext. of Time (x2) |
| <input checked="" type="checkbox"/> Drawings <u>10</u> Sheet(s) (FIGS. <u>1A-13</u>) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal | <input type="checkbox"/> Letter to Official Draftsperson |
| <input checked="" type="checkbox"/> Utility Patent Application Transmittal | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Fee calculation sheet (x2) <u>536</u> | <input type="checkbox"/> Brief (x3) |
| <input type="checkbox"/> CPA Transmittal | <input type="checkbox"/> Check for \$ _____ Check # _____ |
| <input type="checkbox"/> Verified Statement claiming small entity status | <input type="checkbox"/> Transmittal Letter (x2) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a) |

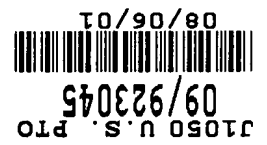
☒ Other SUBSTITUTE SPECIFICATION
MARKED UP VERSION SHOWING CHANGES TO SPECIFICATION

DATE MAILED 08-06-01

PATENT



Michael G. Verga, Esq.
11 Royce Road
Newton, MA 02459



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